#### Part I:

## **Pre-Application Reports Reporting:**

a) Total since Rule 21 Revision in September 2012 b) Total for 2nd Quarter 2019:

9

441

i. Number requested: 441

ii. Number issued: 433

iii. Number currently in process: 3

iv. Number withdrawn (if any): 5

# **Rule 21 Fast Track Reporting:**

a) Rule 21 Fast Track applications received since 9/13/2012

Queued: 1177

b) Rule 21 Fast Track applications for 3Q

Queued: 49<sup>1</sup>

## **Initial Review**

a. Number of Fast Track Applications received for **all** types of generating facilities:

1177 49

From Rule 21 Reform Through 3Q 3Q 2019

b. Number of Fast Track applications received for exporting generating facilities only (excluding Net Energy Metering and non-export):

224

From Rule 21 Reform to Through 3Q 3Q 2019

<sup>&</sup>lt;sup>1</sup> Three projects from previous reporting quarters were removed due to being incorrectly listed as Fast Track

c. Number of Fast Track applications for exporting generating facilities that successfully passed Initial Review, where success is defined by passing all Initial Review screens:

**26**From Rule 21 Reform Through 3Q 3Q 2019

d. Number of Fast Track Applications for exporting generating facilities currently being evaluated in Initial Review.

0 3Q 2019

- e. Number of Fast Track applications for exporting generating facilities that failed Initial Review:
  - i. If the total set out in B does not equal the totals set out in C + E, please explain why:

148 0 From Rule 21 Reform Through 3Q 3Q 2019

For the period from 9/13/2012 to 9/30/2019: From the **224** Exporting Fast Track requests received since 9/13/2012, **26** passed the Initial Review screens, **50** projects withdrew prior to completing the Initial Review or were not deemed eligible (due to size), **148** failed the Initial Review screens.

f. Number of Fast Track Applications for exporting generating facilities for which a Results Meeting following Initial Review has taken place:

**70 0** From Rule 21 Reform to 3Q 3Q 2019

- g. Please indicate the top three most frequently failed Initial Review screens<sup>2</sup> in descending order.
  - 1. Screen M (Aggregate generation 15% larger than line section peak load)
  - 2. Screen N (Penetration Test)
  - 3. Screen F (Short Circuit Current Contribution)
- h. If possible, please write three recommendations describing how an interconnection customer might apply for Fast Track in a way that would avoid failing the top three most frequently failed screens:
  - 1. Use SCE's Interconnection maps and locate projects in green zones and in accordance with the available capacity as identified in the green zone;
  - 2. Submit a Pre-Application Report Request for the proposed generator project;
  - 3. Interconnect via non-export with certified technology.

<sup>&</sup>lt;sup>2</sup> "Initial Review" screens include screens reviewed as part of the Rule 21 Supplemental Review process.

#### **Supplemental Review**

i. Number of Fast Track Applications for exporting generating facilities that have requested Supplemental Review after failing Initial Review.

**72**From Rule 21 Reform Through 3Q 3Q 2019

j. Number of Fast Track Applications for exporting generating facilities currently being evaluated in Supplemental Review.

1<sup>3</sup> 3Q 2019

k. Number of Fast Track Applications that have successfully passed Supplemental Review, where success is defined as passing all screens:

55 1 From Rule 21 Reform Through 3Q 3Q 2019

1. Number of Fast Track applications that successfully passed Supplemental Review and received a GIA<sup>4</sup>:

**28**From Rule 21 Reform Through 3Q 3Q 2019

m. Number of Fast Track Applications that withdrew before supplemental review began:

**59 0** From Rule 21 Reform Through 3Q 3Q 2019

n. Number of Fast Track projects that withdrew after supplemental review began<sup>5</sup>:

**17 0** From Rule 21 Reform Through 3Q 3Q 2019

o. Please indicate the two most frequently failed Supplemental review screens:

Answer provided applies to both quarter review and from Rule 21 reform to 3/31/15:

- 1. Screen M (Aggregate generation 15% larger than line section peak load);
- 2. Screen N (Penetration Test).
- p. If possible, please indicate 2 recommendations describing how a developer might request

<sup>&</sup>lt;sup>3</sup> The project pending Supplemental Review results has requested and received a timeline extension under D.16 of the Rule 21 tariff.

<sup>&</sup>lt;sup>4</sup> For purposes of this compliance response and going forward, SCE assumes that "receiving a GIA" should be interpreted as SCE tendering an interconnection agreement to the customer for their review.

<sup>&</sup>lt;sup>5</sup> For purposes of this response and going forward, it is assumed that such projects did not go to the Interconnection Agreement phase. In addition, for purposes of this report and going forward, projects that passed Supplemental Review and received a draft Generator Interconnection Agreement and projects that moved into Detailed Study prior to withdrawing are not included in totals.

b.	Number of emails the Ombudsman	has received from September 2012 to date:	

**191** 7 From Rule 21 Through 3Q 3Q 2019

c. Number of cases that the Ombudsman took an active role in handling: ("active role" means the Ombudsman sought out information from another source to provide that information to an interconnection customer or other third party)

**82 0** From Rule 21 Through 3Q 3Q 2019

d. Number of disputes initiated in writing by a Party that invokes Rule 21, Section K.2 Dispute Resolution Procedures (DRP).

**17 0** From Rule 21 Through 3Q 3Q 2019

e. Number of disputes resolved within 45 calendar days of the original notice.

3Q 2019 From Rule 21 Reform Through 3Q

f. Number of disputes where an additional 45 days was sought for resolution (second part of original question e).

4 0 From Rule 21 Reform Through 3Q 3Q 2019

Number of disputes mediated by a member of the CPUC's ALJ Division:

From Rule 21 Reform Through 3Q 3Q 2019

h. Number of disputes mediated by an outside third-party mediator:

From Rule 21 Reform Through 3Q 3Q 2019

i. Number of disputes in which a Formal Complaint has been filed at the CPUC and served on the IOU:

0 From Rule 21 Reform Through 3Q 30 2019

# Accounting of Exemptions from Rule 21 Interconnection Fees, Including the Value of Those **Exemptions:**

In accordance with the Public Utilities Code Section 2827 and D.02-03-057, NEM customer generators are exempt from interconnection application fees, supplemental review fees, costs for distribution upgrades and standby charges. Reporting is provided in accordance with Commission decisions representing socialized NEM costs.

Please refer to reporting made in accordance with 12-11-005 for NEM related fees.