

DEMAND RESPONSE SERVICE PROVIDER REGISTRATION APPLICATION FORM

Pursuant to Electric Rule 24/32, this form must be completed by all Demand Response Providers (DRPs) utilizing bundled service customers in a California System Operator (CAISO) Demand Response Service. DRPs serving Residential customers and/or Small commercial customers with peak demand less than 20 kW ("Small Customers") must complete this entire form and post bond in accordance with the instructions found in this form.

*****PLEASE PRINT OR TYPE*****

DRP No. _____
(Filled by CPUC)

1. Exact Legal Name of Registrant:

If registrant is conducting business in California under a fictitious business name, list all the names under which the registrant is doing business as (DBA) in California, and the date and county in which the fictitious business name statement was filed (Attach additional page if necessary). For each DBA, provide a copy of the proof of publication of the fictitious business name, or a copy of the certified fictitious business name statement. (See Business & Professions Code Sections 17910, 17917, 17926)

| | | |
|-----|------|--------|
| DBA | Date | County |
| DBA | Date | County |
| DBA | Date | County |

2. Current Address:

_____ Address _____

City _____ State _____ Zip Code _____

3. Current Telephone Number: _____

4. Type of Ownership:

- Individual
 Partnership
 Corporation
 Limited Liability Company
 Government Entity
 Other

Complete and mail this application along with \$100.00 certified check to:
State of California
Public Utilities Commission
Energy Division - DRP Registration
505 Van Ness Avenue
San Francisco, CA 94102

INCOMPLETE APPLICATIONS CANNOT BE PROCESSED

FOR CPUC USE ONLY

Application Processed

By: _____

Date: _____

5. If a non-California corporation, limited liability company, or limited partnership, attach a copy of the certificate from the California Secretary of State permitting the entity to transact business in California. (See Corporations Code Sections 2105, 15692, 17451)

6. a. If registrant is a corporation, the state in which the registrant is incorporated and

Date of Incorporation: _____ State of Incorporation: _____
(Date) (State)

b. List names and titles of corporate officers. (Attach additional page if necessary)

7. If registrant has listed type of ownership as "government entity" provide a brief description of the government entity, and list the names and titles of the personnel responsible for providing DRP DR Services to residential and small commercial customers. (Attach additional page if necessary)

8. The street address and telephone number of the registrant's principal place of business, if *DIFFERENT* from current address and telephone number listed in line numbers 2 and 3:

Street Address

| | | |
|------|-------|----------|
| City | State | Zip Code |
|------|-------|----------|

Telephone Number

9. Estimated number of Residential and Small Commercial Customers that you plan to provide DRP DR services to, if any.

10. Before you can apply to register as a demand response provider (DRP), you are required to execute a service agreement with each utility distribution company (UDC) in whose service territory you plan to do business. State the name of each Utility for which you have a signed a DRP Service Agreement. Attach to this form an executed copy of each DRP Service Agreement.

Name of Utility(ies):

11. The name, title, address and telephone number of the person to whom correspondence or communication regarding customer complaints or inquiries are to be addressed.

| | | |
|-------------------------|--------------------------------------|--|
| Name | | Title |
| Address | | |
| City | State | Zip Code |
| Telephone Number | FAX Number (If available) | E-Mail Address (If available) |

**12. Name and Address of Agent for Service of Process:
(Must Be Located In California - See Corporations Code Sections 1505, 15800, 17057, 17060)**

Name: _____

Street Address: _____

City and State: _____ **Zip Code:** _____

13. Disclosures

a. Has the registrant, or any of the general partners, or corporate officers or directors, or limited liability company members, managers, and officers, ever been convicted of any felony?

No Yes If yes, please explain on additional page.

b. Within the last ten years, have any of these persons had any civil, criminal, or regulatory sanctions imposed against them pursuant to any state or federal consumer protection law or regulation?

No Yes If yes, please explain on additional page.

NOTICE

Each DRP registered with the California Public Utilities Commission (CPUC) should be familiar with all the laws and decisions pertaining to the offering of demand response services to customers in California. This notice mentions some of the provisions that the DRP must abide by.

For DRPs (which, pursuant to Rule 24/32 include “an entity that is responsible for performing any or all the functions associated with either a CAISO-DRP and/or an Aggregator”) providing DRP DR Services to Small Customers, to be issued a DRP Registration number, they are required to provide the CPUC with a performance bond in favor of the CPUC in the minimum amount specified by the CPUC’s DRP Bond Formula contained in Pacific Gas and Electric Company’s and Southern California Edison Company’s Electric Rule 24 and San Diego Gas and Electric Company’s Electric Rule 32, respectively. The performance bond must be received by the CPUC before the DRP registration will be considered complete. The performance bond shall be delivered to the Energy Division address listed on the first page of this form. This deposit requirement is subject to change. If a bond is to be or has been posted by a partnering DRP for this same group of customers, you attest that bond remittance by the partnering DRP is accommodated through a commercial agreement between the two DRPs. Such accommodation should consist of evidence satisfactory to the Energy Division that the bond protects customers served by both DRPs. This requirement may be satisfied if 1) bond is issued in the name of both DRPs, 2) each DRP

has its own bond, or 3) by other arrangement that makes clear that action of either DRP will cause payment on the bond. The following information must also be provided.

Name of Partnering DRP _____

Number of Small Customers _____

If you are posting bond on behalf of partnering DRP for this same group of customers, the following information must also be provided.

Name of Partnering DRP _____

Number of Small Customers covered by Bond _____

Prior to registering the customer in CAISO, a DRP providing DRP DR Services to any Small Customer is required to provide the potential customer with a written notice that describes the price, terms, and conditions of service. The notice shall include all of the elements set forth in the CPUC's direct participation consumer protection decisions, D.12-11-025 and D.13-12-029.

Any material change in the information required by this form shall be provided to the CPUC within 60 days, except for any change in the DRP's telephone number or address, which shall be reported within five days of such a change.

Mail the original signed form and a hard copy together with all required documents (\$100 certified check, DRP Service Agreement with utility(ies), performance bond (if applicable) and Customer Notification Form Letter (if applicable) to the Energy Division address listed on the first page of this form and send an electronic copy of the package to drp_registration@cpuc.ca.gov.

DECLARATION

If this registration form is verified outside of California, the verification must be made by an affidavit sworn or affirmed before a notary public.)

I, (print name and title) _____ hereby certify that I am empowered to act on behalf of _____ (registrant's name) and to make this application on its behalf. I declare under the penalty of perjury under the laws of the State of California that I have read the above notice, that the above statements and information submitted in connection with this application are true and correct, and that any documents furnished in connection with this application are true and correct copies.

Dated this _____, at _____
(Date) (Place of Execution)

Signature: _____